

Form PTO-1390  
(REV. 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NUMBER

9342-253

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/522857

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371INTERNATIONAL APPLICATION NO.  
PCT/EP03/005648INTERNATIONAL FILING DATE  
May 28, 2003PRIORITY DATE CLAIMED  
August 5, 2002

## TITLE OF INVENTION

Signal Strength Information Dependent Control of Small Electrodynamic Transducers in Audio Signals

## APPLICANT(S) FOR DO/EO/US

Thomas Lechner

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (22) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4)
7. ☐ Amendments to the claims of the International Application Under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report Under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11 to 21 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ An Application Data Sheet under 37 CFR 1.76.
16. ☐ A substitute specification.
17. ☐ A change of power of attorney and/or address letter.
18. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
19. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
20. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
21. ☒ Other items or information: PCT Publications WO 2004/016040; International Preliminary Examination Report; International Search Report

21. The following fees are submitted:

a) Basic national fee.....	\$300.00
b) Examination fee.....	\$200.00
c) Search fee.....	\$500.00

**TOTAL OF ABOVE CALCULATIONS = \$1000.00**

Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE
-100+	-/50+		X \$250.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	27- 20 =	7	x \$ 50.00	\$350.00
Independent Claims	4 - 3 =	1	x \$200.00	\$200.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$1550.00</b>

<b>SUBTOTAL =</b>	\$1550.00
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<b>TOTAL NATIONAL FEE =</b>	<b>\$1550.00</b>
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<b>TOTAL FEES ENCLOSED =</b>	<b>\$1550.00</b>
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<b>Amount to be refunded:</b>	\$
<b>charged:</b>	\$

- a. ☒ A check in the amount of **\$1550.00** to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. 50-0220 in the amount of \$\_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0220. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING: Information on this form may become public. Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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Laura M. Kelley, Reg. #48,441

Date: Jan 31, 2005

**CERTIFICATE OF EXPRESS MAILING**

Express Mail Label No. EV 473661867 US

Date of Deposit: January 31, 2005

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop PCT, P.O. Box 1450, Alexandria, VA 22313-1450.

Carey Gregory